



0267-001-1904

CofC

## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent No. : 6,864,769  
Issue Date : March 8, 2005  
Application No. : 09/905,713  
For : LOCKOUT MECHANISM FOR RESIDUAL CURRENT DEVICES

**Certificate**  
**FEB 15 2006**  
**of Correction**

ATTN: Certificate of Correction Branch.  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
UNDER 37 C.F.R. §§ 1.322 AND 1.323**

Sir:

It is certified that mistakes appear in the above-identified patent. The patent is hereby corrected as detailed below:

Patent and Trademark Office mistakes

In a first filing receipt dated April 30, 2002 (labeled "1" and attached for reference), the section entitled "Domestic Priority data as claimed by applicant" correctly reads as follows:

"THIS APPLN CLAIMS BENEFIT OF 60/277,097 03/19/2001."

However, in a subsequently received filing receipt dated June 20, 2002 (labeled "2" and attached for reference), the section entitled "Domestic Priority data as claimed by applicant" was omitted.

The error in the subsequently received filing receipt was brought to the attention of the Office of Initial Patent Examination's (OIPE) for correction in a Facsimile transmitted letter dated February 16, 2005 (labeled "3" and attached for reference).

On the cover page of the Patent, section (60) entitled "Related U.S. Application Data", the provisional application no. "60/227,097" should read as --60/277,097--. In addition, in Col. 1, line 2 of the first paragraph, the provisional application no. "60/227,097" should read as --60/277,097--.

02/14/2006 NNGUYEN1 00000065 501561 6864769

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FEB 17 2006

The above-noted mistakes were incurred through the fault of the Patent and Trademark Office. Correction under 37 C.F.R. § 1.322 is respectfully requested. No fee is believed to be due with regard to making these corrections.

Patentees' mistakes

In the originally filed application dated March 19, 2001, under the Paragraph entitled "CROSS REFERENCE TO RELATED APPLICATIONS" on Page 1, the following information was filed:

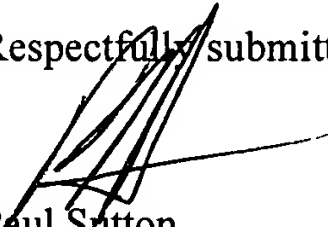
This application claims the benefit of U.S. provisional application no. 60/277,097, filed on March 19, 2001. **This application is related to application Serial No. 09/379,138 filed August 20, 1999, which is a continuation-in-part of application Serial No. 09/369,759 filed August 6, 1999, which is a continuation-in-part of application Serial No. 09/138,955, filed August 24, 1998, now U.S. Patent No. 6,040,967, all of which are incorporated herein in their entirety by reference, and related to application Serial No. 09/379,138 filed August 20, 1999, which is a continuation-in-part of application Serial No. 09/369,759 filed August 6, 1999, which is a continuation-in-part of application Serial No. 09/138,955, filed August 24, 1998, now U.S. Patent No. 6,040,967, all of which are incorporated herein in their entirety by reference.** (Bold and underline added for emphasis)

The above application information (shown in bold) was inadvertently duplicated in the same paragraph (shown in underline). Therefore, the text from lines 9 to 14 under the Paragraph entitled "CROSS REFERENCE TO RELATED APPLICATIONS" in Col. 1 of the patent should be deleted. This mistake occurred in good faith, is minor in character and does not constitute new matter or require reexamination. Correction under 37 C.F.R. § 1.323 is respectfully requested.

The Commissioner is hereby authorized to charge the fee of \$100.00 under 37 C.F.R. § 1.20(a) to Deposit Account No. 50-1561 of Greenberg Traurig, LLP. Any deficiency in or overpayment of fees in connection with this Request should likewise be charged or credited to Deposit Account No. 50-1561 of Greenberg Traurig, LLP.

A Certificate of Correction for the above-identified patent has been prepared and is attached hereto. Approval of the Certificate of Correction is respectfully requested.

Respectfully submitted,



Paul Sutton  
Reg. No. 24,201

Greenberg Traurig, LLP  
MetLife Building  
200 Park Avenue  
New York, NY 10166

Date: February 8, 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 6,864,769  
APPLICATION NO.: 09/905,713  
ISSUE DATE : March 8, 2005  
INVENTOR(S) : GERMAIN, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page, Section (60) titled "Related U.S. Application Data" of the issued patent, the Provisional Application No."60/227,097" should read as --60/277,097--;

Column 1, line 2 of the first paragraph, the Provisional Application No."60/227,097" should read as --60/277,097--.

Column 1, line 8 of the first paragraph, after the word "reference" replace the comma "," with a period ---.

Column 1, lines 9 to 14 of the first paragraph, the text from lines 9 to 14 should be deleted.

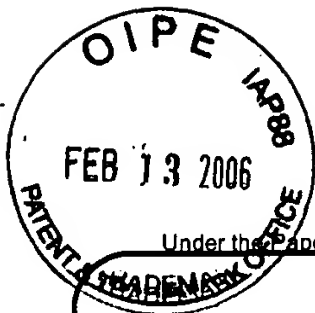
**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

Greenberg Traurig, LLP  
200 Park Avenue, New York, New York 10166

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

FEB 17 2006



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

Patent No. 6,864,769

Filing Date

March 8, 2005

First Named Inventor

Frantz GERMAIN

Art Unit

Examiner Name

Attorney Docket Number

0267-001-1904

**ENCLOSURES** (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

- Certificate of Correction
- Request for Certificate of Correction
- Return Receipt Postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Greenberg Traurig, LLP

Signature

Printed name

Paul J. Sutton

Date

February 8, 2006

Reg. No.

24,201

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Patricia Kane

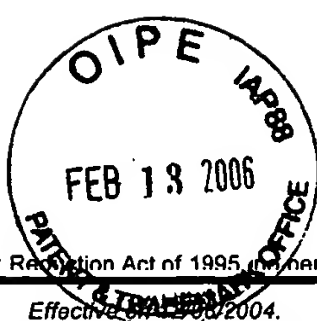
Date

February 8, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEB 13 2006



PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective 01/01/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100.00

**Complete if Known**

Application Number	Patent No. 6,864,769
Filing Date	Issue Date: March 8, 2005
First Named Inventor	Frantz GERMAIN
Examiner Name	
Art Unit	
Attorney Docket No.	0267-001-1904

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-1561 Deposit Account Name: Greenberg Traurig, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
_____ - 20 or HP = _____	x _____	= _____	

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
_____ - 3 or HP = _____	x _____	= _____	

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing charge): Request for Certificate of Correction of Patent 100.00**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 24,201	Telephone (212) 801-2108
Name (Print/Type)	Paul J. Sutton	Date February 8, 2006	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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